

# DESIGN AND FABRICATION OF LiNbO<sub>3</sub> WAVEGUIDE QPM-DFG WAVELENGTH CONVERTER FOR 1.5 μm BAND

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## Introduction

Waveguide quasi-phase-matched (QPM) nonlinear optic devices [1] can perform a variety of wavelength conversion functions such as second-harmonic generation (SHG) [2,3] and difference frequency generation (DFG) [4,5,6]. The QPM-DFG devices for wavelength conversion of optical signals in the 1.5 μm band are extensively studied for application to DWDM systems. They offer several advantages such as a high efficiency, wide wavelength coverage with single material, wide bandwidth, and integration compatibility.

In this work we report design considerations, fabrication process and experimental results of LiNbO<sub>3</sub> waveguide QPM-DFG devices for the 1.5 μm band.

## Device design

Fig. 1 illustrates a LiNbO<sub>3</sub> waveguide QPM-DFG wavelength converter. The device consists of a domain-inverted grating for QPM and a channel waveguide in a z-cut LiNbO<sub>3</sub> crystal. A signal wave of frequency  $\omega_s$ , wavelength  $\lambda_s$ , power  $P_s$ , and a pump wave of  $\omega_p$ ,  $\lambda_p$ ,  $P_p$  are coupled into a waveguide channel. Both waves are TM-polarized. A wave of frequency  $\omega_d = \omega_p - \omega_s$ ,  $\lambda_d$ ,  $P_d$  is generated by QPM-DFG interaction through the largest nonlinear optic tensor element,  $d_{33}$ . When the pump wave power depletion is negligible, the output DFG wave power is given by [1]

$$P_d(L) = P_s P_p \kappa^2 L^2 \left| \frac{\sinh\left(\sqrt{(\omega_s/\omega_d)\kappa^2 P_p - \Delta^2} L\right)}{\sqrt{(\omega_s/\omega_d)\kappa^2 P_p - \Delta^2}} \right|^2, \quad (1)$$

$$2\Delta = 2\pi\left(N_p/\lambda_p - N_s/\lambda_s - N_d/\lambda_d - 1/\Lambda\right), \quad (2)$$

where  $L$  is the interaction length,  $\kappa$  is the DFG coupling coefficient,  $\Delta$  is the phase-mismatch, and  $N_p$ ,  $N_s$ ,  $N_d$  are the effective indices for  $\lambda_p$ ,  $\lambda_s$ ,  $\lambda_d$ . Fig. 2 shows DFG wavelength and QPM period dependent on signal and pump wavelengths, calculated by using extraordinary indices of bulk LiNbO<sub>3</sub> crystal for  $N_p$ ,  $N_s$ ,  $N_d$ . For wavelength conversion within the 1.5 μm band, pump wavelength of  $\lambda_p = 0.78$  μm and QPM period of  $\Lambda = 18$  μm are required. Fig. 3 shows the normalized DFG efficiency  $\eta = P_d/P_s P_p$  and the signal/pump wavelength bandwidths dependent on the device length  $L$ , calculated by assuming a coupling

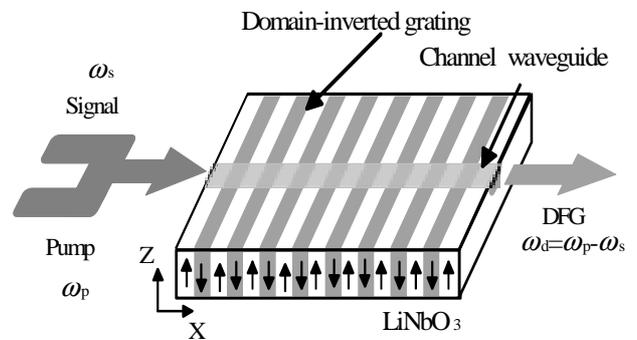


Fig. 1 LiNbO<sub>3</sub> waveguide QPM-DFG wavelength converter.

coefficient  $\kappa = 1.5 \text{ W}^{-1/2} \text{ cm}^{-1}$  for  $8 \times 6 \text{ }\mu\text{m}^2 / 3 \times 4 \text{ }\mu\text{m}^2$  signal/pump mode sizes [4]. The longer the interaction length  $L$  is, the higher the normalized DFG efficiency becomes. For longer  $L$ , the signal wavelength bandwidth  $2\Delta\lambda_s$  is narrower. We designed a QPM-DFG wavelength converter for the  $1.5 \text{ }\mu\text{m}$  band. We determined the interaction length  $L$  to be  $30 \text{ mm}$  for obtaining well-balanced high efficiency and wide signal bandwidth matching to a  $1.5 \text{ }\mu\text{m}$  (C, L or S) band. A normalized DFG efficiency  $\eta$  of  $2000 \text{ \%}/\text{W}$  for small pump power and a signal wavelength bandwidth of about  $30 \text{ nm}$  are expected.

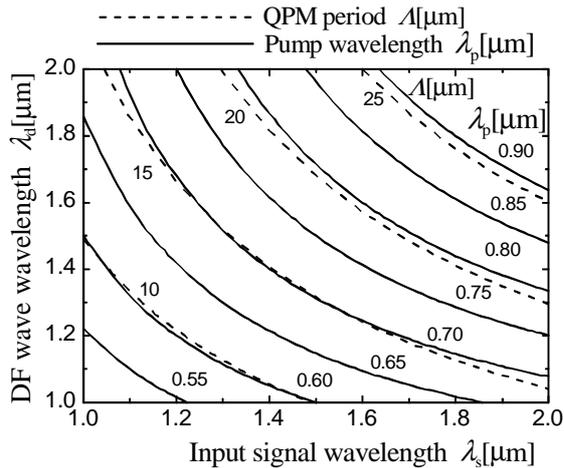


Fig. 2 Pump wavelength and QPM grating period required for wavelength conversion in  $\text{LiNbO}_3$  QPM-DFG device.

### Fabrication

A DFG device of  $L = 30 \text{ mm}$  was fabricated. Fig. 4 shows a schematic illustration of fabrication of a domain-inverted grating by applying high voltage through liquid electrodes. At first a resist grating was fabricated by photolithography on the  $+z$  surface of a  $\text{LiNbO}_3$  crystal of  $0.5 \text{ mm}$  thickness. The resist thickness was  $\sim 7 \text{ }\mu\text{m}$ . The area of the resist grating pattern was  $30 \times 20 \text{ mm}^2$ , and the period ranged from  $15.5$  to  $17.4 \text{ }\mu\text{m}$  with  $0.1 \text{ }\mu\text{m}$  step. Filter papers immersed with  $\text{LiCl}$  solution were placed on both surfaces of the sample and used as the electrodes. The sample was held between two  $\text{Al}$  blocks, and a single voltage pulse of  $\sim 11 \text{ kV}$  was applied. The pulse duration was automatically controlled to give a predetermined inversion charge [2]. The typical pulse duration was  $\sim 25 \text{ ms}$ . Fig. 5 shows the domain-inverted grating on the  $+z$  surface and the cross-section after etching by  $\text{HF}:\text{HNO}_3$  mixture. The ratio of the grating line width to the period was about  $0.5$ , and the inverted regions continue through the entire crystal thickness. After the domain-inverted grating fabrication, an array of channel waveguides of  $30 \text{ mm}$  length was fabricated. The sample with  $\text{Al}$  mask of  $\sim 4 \text{ }\mu\text{m}$  channel opening was proton exchanged in benzoic acid at  $200$  for  $1.5 \text{ h}$ . Then the sample was thermally annealed at

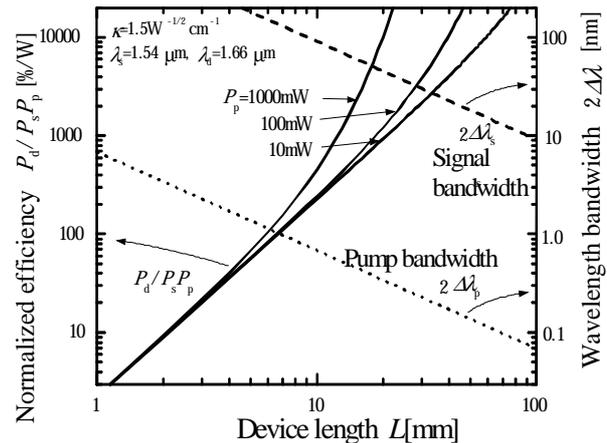


Fig. 3 Efficiency and bandwidths of DFG device.

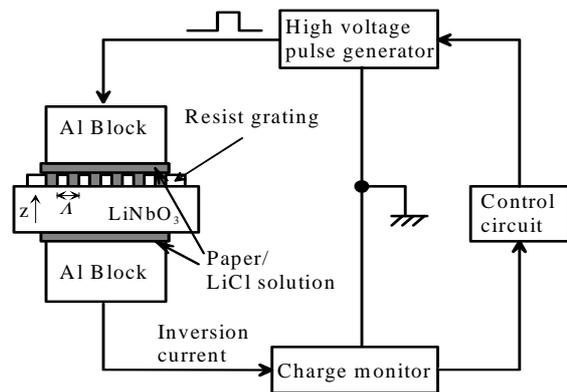


Fig. 4 Schematic illustration of fabrication of domain-inverted grating by applying voltage

350 for 4 h in oxygen atmosphere. Finally the waveguide input/output ends were polished for end-fire coupling.

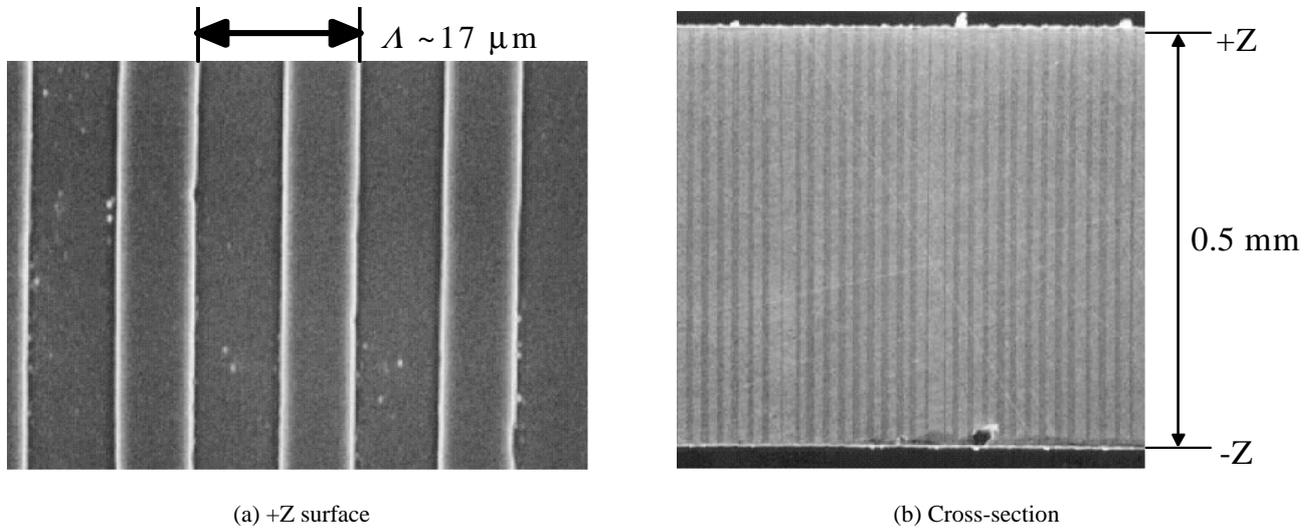
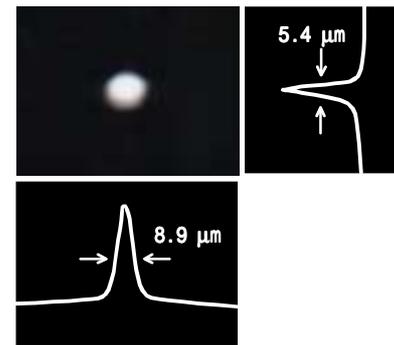


Fig. 5 Domain-inverted grating structure after etching.

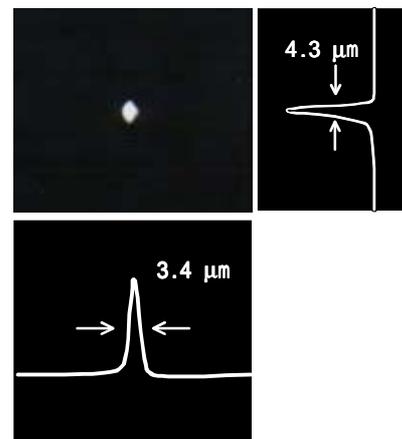
### Experimental results

The SHG characteristics were measured for a preliminary experiment. A beam from an external cavity laser diode was coupled into a waveguide ( $\Lambda = 17.2 \mu\text{m}$ ,  $L = 30 \text{ mm}$ ) through a  $\times 20$  objective. A QPM SH wave was obtained at 782 nm wavelength. Fig. 6 shows the near field patterns and the mode profiles of the pump wave and the SH wave. The FWHM mode sizes were  $8.9 \times 5.4 \mu\text{m}^2$  and  $3.4 \times 4.3 \mu\text{m}^2$  for the pump wave and the SH wave. Assuming co-centered Gaussian profiles with these mode sizes, the coupling coefficient was calculated as  $\kappa \sim 0.92 \text{ W}^{-1/2}\text{cm}^{-1}$ , and this value gives 750 %/W as an estimate for normalized SHG efficiency for  $L = 30 \text{ mm}$ . Theoretically, the normalized DFG efficiency is equal to the normalized SHG efficiency. Fig. 7 shows the measured SHG efficiency dependent on the pump power. The normalized SHG efficiency was 120 %/W. The efficiency was three times as high as that (40 %/W) of a device of 10 mm length previously fabricated by our group.

The DFG characteristics were measured in 1.5  $\mu\text{m}$  band. Fig. 8 shows an experimental setup for the experiments. A Ti:Al<sub>2</sub>O<sub>3</sub> laser was used as a pump source, and an external cavity laser diode was used as a signal source. A signal wave of  $\lambda_s = 1566 \text{ nm}$  and a pump wave of  $\lambda_p = 782 \text{ nm}$  were combined by a



(a) Pump wave ( $\lambda = 1563 \text{ nm}$ )



(b) SH wave ( $\lambda = 782 \text{ nm}$ )

Fig. 6 Near field patterns and intensity profiles of output waves in SHG experiment.

dichroic mirror and coupled in the waveguide. DFG wave of  $\lambda_d = 1561$  nm was obtained. Fig. 9 shows the spectrum of the output signal and DFG waves. Signal wavelength bandwidth consistent with the theoretical value of 30 nm was obtained. A DFG wavelength conversion efficiency  $P_d/P_s = -27$  dB was obtained for  $P_p = 1$  mW. This result is roughly consistent with 120 %/W normalized DFG efficiency. The discrepancy between this result and the prediction is partly due to the fact that the actual mode profiles are not co-centered Gaussians. The reduction of the efficiency also seems to be due to propagation loss caused by slight waveguide surface damage produced in the high-voltage application process.

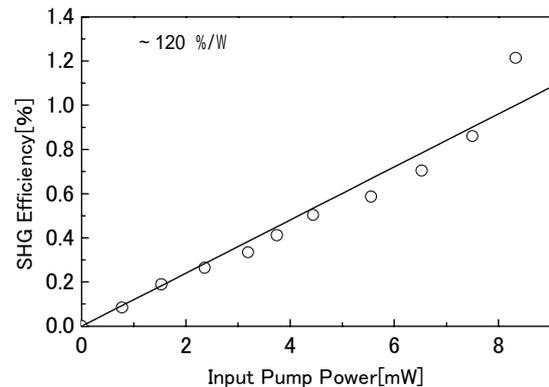


Fig. 7 SHG efficiency dependent on input pump power.

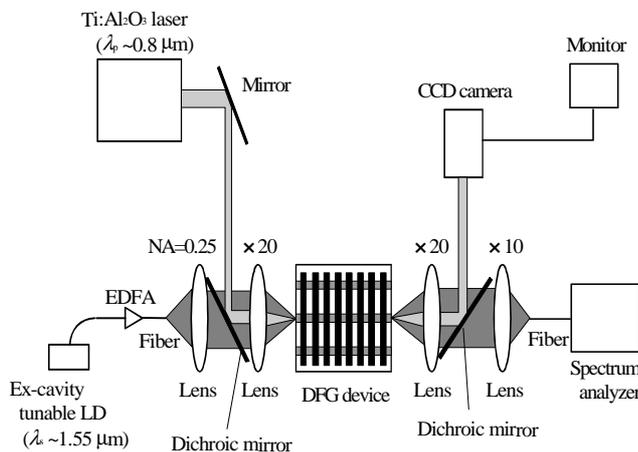


Fig. 8 Experimental setup for DFG.

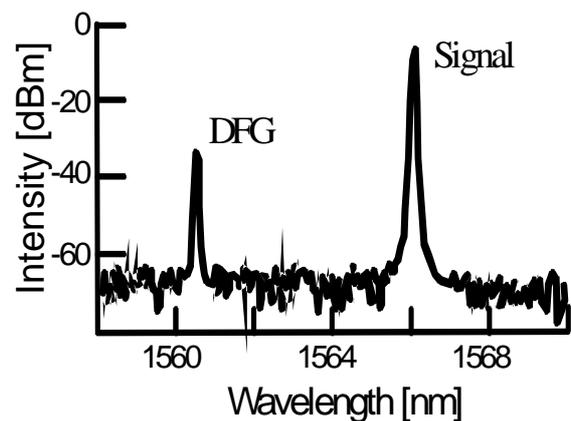


Fig. 9 Spectrum of output waves.

## Conclusions

LiNbO<sub>3</sub> waveguide QPM-DFG wavelength converters were presented. A DFG device with interaction length of 30 mm was designed and fabricated. A normalized DFG efficiency of 120 %/W was obtained. Experimental work is in progress to improve the performances.

## References

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